

## Sustainability-related disclosure pursuant to Article 10 of Regulation (EU) 2019/2088 (Disclosure Regulation)

The subject of this document is mandatory information on the environmental and/or social characteristics of this fund. This document is not promotional material. This information is required by law in order to transparently explain the environmental and/or social characteristics promoted by the fund.

### Univest Global Alpha Equity Sub-Fund

ISIN: LU1409783021; LU1409783294; LU1647856704; LU1409783377; LU1433238620; LU1409784003; LU1409783450; LU1409783534; LU1409783617; LU1409783708; LU1409783963

The fund is managed by Universal-Investment-Luxembourg S.A. (the "management company").

### Description of the environmental and/or social characteristics

The Sub-Fund has the following E/S characteristics:

ESG Objective of the Sub-Fund is to invest more in companies who are well prepared for the climate transition (based on company research) and to consider S and G factors. In addition, the Sub-Fund fund excludes companies on the basis of controversial behaviour and controversial products (controversial weapons, conduct and coal).

In order to do so, the Sub-Fund strives for economic results, while at the same time taking into account the following environmental, social and governance characteristics:

- Climate including a 20% reduction in carbon intensity from inception of the portfolio to 31 December 2024, alignment with 2 degree C scenario and over and under-weighting companies based on forward looking carbon data;
- Governance, which may include, but not limited to board/management quality, structure and integrity, ownership & shareholder rights, remuneration, reporting and stakeholder governance;
- Social, which may include, but not limited to diversity, discrimination, freedom of association, human rights and gender pay equality.

### Explanation on the achievement of the environmental and/or social characteristics

This Fund promotes environmental and/or social characteristics within the meaning of Article 8 of the Disclosure Regulation.

Active investment in equity securities listed on recognised exchanges or dealt on other regulated markets primarily within developed markets that seeks to add 'alpha' i.e. outperformance to the benchmark index. Securities listed in Emerging Markets countries are also permitted to a limited extent and are expected to remain below or around 10% of the Sub-Fund's Net Asset Value. Exposure to equity securities may be gained through UCITS and other UCIs. The Sub-Fund may invest more than 10% of its net assets in units or shares of UCITS and/or other UCIs. The Sub-Fund may also hold up to 15% of its Net Asset Value in bonds convertible into (or in bonds with warrants to subscribe for) permissible equities plus up to 7.5% in warrants to subscribe for permissible equities. In addition, the Sub-Fund may enter into a limited number of forward transactions both for hedging and for efficient portfolio management purposes. Cash may be held to a limited extent and is expected to remain below or around 5% of the Net Asset Value. The Sub-Fund may hold closed end REITs trading on recognized local exchanges up to 15% of Net Asset Value.

The Investment Manager will take account of ESG factors in the selection, retention and realisation of investments. The Investment Manager will be required to identify and report on both the risks and opportunities that pertain to each underlying company that is held.

The Investment Manager also considers ESG factors when selecting, retaining and selling investments. An external specialist will be appointed to provide active ownership services to the Sub-fund although the Investment Managers will be expected to engage with companies held in their portfolios. The Investment Manager's engagement activities will be monitored to ensure they support the objectives of their mandate.

Further information about the environmental, social and governance characteristics applied is available upon request or online at the website.

## Methods for assessment, measurement and monitoring

The sub-fund has the following E/S indicators:

- Exclusion list applies.
- ESG metrics: Co2 scope 1 and 2 emissions (on basis of WACI), CDP score for 6 most carbon intensive sectors, climate scenario alignment.
- Engagement and voting by Sub-Funds external specialist.
- Engagement by Investment Managers expected.

WACI is the Weighted Average Carbon Intensity (weighted as tCO<sub>2</sub>e/\$Mio revenues).

The Sub-Fund monitors the ESG score and WACI vs the benchmark, whereby WACI is a specific target in the Investment Manager agreements.

The E/S characteristics and the E/S indicators are monitored on a regular basis as part of the investment process and by applying restrictions on the exclusion list, regularly measuring restrictions and controls. In this way the Sub-Fund uses the E/S indicators to measure if the promoted E/S characteristics are achieved.

Adherence to the exclusion list is monitored by the risk department of the Management Company. In addition, the appointed Investment Managers will take account of ESG factors in the selection, retention and realisation of investments. The Investment Manager will be required to identify and report on both the risks and opportunities that pertain to each underlying company that is held. The appointed Investment Managers also considers ESG factors when selecting, retaining and selling investments. An external specialist will be appointed to provide active ownership services to the Sub-Fund although the Investment Managers will be expected to engage with companies held in their portfolios as well. The Investment Managers engagement activities will be monitored to ensure they support the objectives of their mandate.

## Disclaimer

The disclosure of information in Article 10(1)(d) of Regulation (EU) 2019/2088 is related to the disclosure of information under Article 11 thereof. As a result, no data can be provided on the past fulfilment of ecological and social characteristics.

**As of**  
01.12.2021